GENWEALTH FINANCIAL ADVISORS

Estate Plan Questionnaire

This questionnaire is designed to help you think through key estate planning decisions before getting started. You do not need to have all the answers, and you do not need to complete this document to move forward.

Many people find it helpful as a conversation guide, especially when coordinating with a spouse, partner, or family members. Your advisor is here to walk you through each step, provide additional context, and explain why certain questions are asked — so you can make thoughtful decisions with confidence and clarity.

SECTION 1

Your Family & Beneficiaries

These questions help clarify who you want to protect and how you want your estate handled.

1 Do you have any children who are under the age of 18?

☐ Yes ☐ No

If yes, who do you want to nominate as Guardian for those minor children if you and your spouse pass before they reach majority?

Guardian:

2 Do you have a child or grandchild with special needs?

☐ Yes ☐ No

Notes or considerations:

3 How would you like your estate to be distributed?

☐ To my spouse if living, otherwise my children

☐ To my spouse if living, otherwise to custom beneficiaries

☐ To my children if living, otherwise to their descendants

☐ To custom beneficiaries

If you selected custom beneficiaries, please note who or what you have in mind (optional):

4 Are there any family members you would want to intentionally exclude from your estate?

☐ Yes ☐ No

Notes or considerations:

5 Are there any specific assets or tangible items you want to designate to one or more beneficiaries (e.g., jewelry or a cash amount)?

☐ Yes ☐ No

Specific gifts take priority over gifts of the residuary estate.

GIFT ONE

Asset Name & Type:

Individual Recipient (First Name, Last Name):

— or —

Charity Recipient (Organization Legal Name):

GIFT TWO

Asset Name & Type:

Individual Recipient (First Name, Last Name):

— or —

Charity Recipient (Organization Legal Name):

6 Do you have any pets?

☐ Yes ☐ No

If yes, who do you wish to designate as caregiver for those pets?

Caregiver:

7 Who do you trust to decide what medical treatments you should receive and manage your personal care?

Choose at least one person (ideally two). This person would be your health care agent (e.g., in your health care directive, power of attorney for health care and/or living will).

First Person (First Name, Last Name):

Second Person (First Name, Last Name):

8 **Who do you trust to handle your finances if you are unable to manage them yourself?**

First Person (First Name, Last Name):

Address:

Phone Number:

Second Person (First Name, Last Name):

Address:

Phone Number:

9 **In the event of your passing, what are your wishes regarding the disposition of your remains?**

- ☐ Cremation
- ☐ Burial
- ☐ Donation to science / medical research
- ☐ Other

If other, or any additional wishes or instructions:

SECTION 2

Protecting Heirs and Assets

These questions explore whether additional safeguards may be helpful.

1 **Are there circumstances where you want to ensure your beneficiaries remain protected after you pass away?**

☐ Yes ☐ No

For example, this can apply to blended families or situations involving children from a previous marriage.

Notes or considerations:

2 **Are there any beneficiaries who may benefit from added financial guardrails?**

☐ Yes ☐ No

This can include beneficiaries who are minors, have special needs, or may struggle with financial responsibility.

If yes, is the beneficiary's age the primary concern?

If no, would you prefer your descendants to receive their inheritance through a trust?

If yes, at what age should a beneficiary be able to manage their trust independently?

Age:

Notes or considerations:

3 Are there assets you would prefer to keep within your family over time?

☐ Yes ☐ No

Examples might include a family business, a primary residence, or meaningful heirlooms.

Notes or considerations:

4 In the event that you pass and none of your spouse or descendants survive you, are there specific people or charities that you would want your estate to pass to — rather than generally to your legal next-of-kin ("heirs-at-law")?

☐ Yes ☐ No

Notes or considerations:

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